Consensus Statement on Concussion in Sport: the 3rd International Conference on Concussion in Sport held in Zurich, November 2008

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This paper is a revision and update of the recommendations developed following the 1st (Vienna) and 2nd (Prague) International Symposia on Concussion in Sport.1,2 The Zurich Consensus statement is designed to build on the principles outlined in the original Vienna and Prague documents and to develop further conceptual understanding of this problem using a formal consensus-based approach. A detailed description of the consensus process is outlined at the end of this document. This document is developed for use by physicians, therapists, certified athletic trainers, health professionals, coaches and other people involved in the care of injured athletes, whether at the recreational, elite or professional level. While agreement exists pertaining to principal messages conveyed within this document, the authors acknowledge that the science of concussion is evolving and therefore management and return to play care of injured athletes, whether at the recreational, elite or professional level. While agreement exists pertaining to principal messages conveyed within this document, the authors acknowledge that the science of concussion is evolving and therefore management and return to play decisions remain in the realm of clinical judgement on an individualised basis. Readers are encouraged to copy and distribute freely the Zurich Consensus document and/or the Sports Concussion Assessment Tool (SCAT2) card and neither is subject to any copyright restrictions. The authors request, however that the document and/or the SCAT2 card be distributed in their full and complete format.

The following focus questions formed the foundation for the Zurich consensus statement:

Acute simple concussion

- Which symptom scale and which sideline assessment tool is best for diagnosis and/or follow up?
- How extensive should the cognitive assessment be in elite athletes?
- How extensive should clinical and neuropsychological (NP) testing be at non-elite level?
- Who should do/interpret the cognitive assessment?
- Is there a gender difference in concussion incidence and outcomes?

Return to play (RTP) issues

- Is provocative exercise testing useful in guiding RTP?
- What is the best RTP strategy for elite athletes?
- What is the best RTP strategy for non-elite athletes?
- Is protective equipment (eg, mouthguards and helmets) useful in reducing concussion incidence and/or severity?

Complex concussion and long-term issues

- Is the simple versus complex classification a valid and useful differentiation?
- Are there specific patient populations at risk of long-term problems?
- Is there a role for additional tests (eg, structural and/or functional MRI, balance testing, biomarkers)?

Future directions

- What is the best method of knowledge transfer and education?
- Is there evidence that new and novel injury prevention strategies work (eg, changes to rules of the game, fair play strategies, etc)?

The Zurich document additionally examines the management issues raised in the previous Prague and Vienna documents and applies the consensus questions to these areas.

Specific research questions and consensus discussion

1. Concussion

1.1 Definition of concussion

A panel discussion regarding the definition of concussion and its separation from mild traumatic brain injury (mTBI) was held. Although there was acknowledgement that the terms refer to different injury constructs and should not be used interchangeably, it was not felt that the panel would define mTBI for the purpose of this document. There was unanimous agreement, however, that concussion is defined as follows:

Concussion is defined as a complex pathophysiological process affecting the brain, induced by traumatic biomechanical forces. Several common features that incorporate clinical, pathologic and biomechanical injury constructs that may be utilised in defining the nature of a concussive head injury include:

1. Concussion may be caused either by a direct blow to the head, face, neck or elsewhere on the body with an “impulsive” force transmitted to the head.
2. Concussion typically results in the rapid onset of short-lived impairment of neurologic function that resolves spontaneously.
3. Concussion may result in pathological changes but the acute clinical symptoms largely reflect a functional
disturbance rather than a structural injury.
4. Concussion results in a graded set of clinical symptoms that may or may not involve loss of consciousness. Resolution of the clinical and cognitive symptoms typically follows a sequential course; however, it is important to note that in a small percentage of cases however, post-concussive symptoms may be prolonged.
5. No abnormality on standard structural neuroimaging studies is seen in concussion.

1.2 Classification of concussion
There was unanimous agreement to abandon the simple versus complex terminology that had been proposed in the Prague agreement statement as the panel felt that the terminology itself did not fully describe the entities. The panel however unanimously retained the concept that the majority (80–90%) of concussions resolve in a short (7–10 day) period, although the recovery time frame may be longer in children and adolescents.2

2. Concussion evaluation
2.1 Symptoms and signs of acute concussion
The panel agreed that the diagnosis of acute concussion usually involves the assessment of a range of domains including clinical symptoms, physical signs, behaviour, balance, sleep and cognition. Furthermore, a detailed concussion history is an important part of the evaluation both in the injured athlete and when conducting a pre-participation examination. The detailed clinical assessment of concussion is outlined in the SCAT2 form (see p 85).

The suspected diagnosis of concussion can include one or more of the following clinical domains:

- a. Symptoms—somatic (eg, headache), cognitive (eg, feeling like in a fog) and/or emotional symptoms (eg, lability).
- b. Physical signs (eg, loss of consciousness, amnesia).
- c. Behavioural changes (eg, irritability).
- d. Cognitive impairment (eg, slowed reaction times).
- e. Sleep disturbance (eg, drowsiness).

If any one or more of these components is present, a concussion should be suspected and the appropriate management strategy instituted.

2.2 On-field or sideline evaluation of acute concussion
When a player shows any features of a concussion:

- a. The player should be medically evaluated onsite using standard emergency management principles and particular attention should be given to excluding a cervical spine injury.
- b. The appropriate disposition of the player must be determined by the treating healthcare provider in a timely manner. If no healthcare provider is available, the player should be safely removed from practice or play and urgent referral to a physician arranged.
- c. Once the first aid issues are addressed, then an assessment of the concussive injury should be made using the SCAT2 or other similar tool.
- d. The player should not be left alone following the injury and serial monitoring for deterioration is essential over the initial few hours following injury.
- e. A player with diagnosed concussion should not be allowed to return to play on the day of injury. Occasionally in adult athletes, there may be return to play on the same day as the injury. See Section 4.2.

It was unanimously agreed that sufficient time for assessment and adequate facilities should be provided for the appropriate medical assessment both on and off the field for all injured athletes. In some sports this may require rule change to allow an off-field medical assessment to occur without affecting the flow of the game or unduly penalising the injured player’s team.

Sideline evaluation of cognitive function is an essential component in the assessment of this injury. Brief neuropsychological test batteries that assess attention and memory function have been shown to be practical and effective. Such tests include the Maddocks questions4  and the Standardized Assessment of Concussion (SAC).5 6 It is worth noting that standard orientation questions (eg, time, place, person) have been shown to be unreliable in the sporting situation when compared with memory assessment.4 8 It is recognised, however, that abbreviated testing paradigms are designed for rapid concussion screening on the sidelines and are not meant to replace comprehensive neuropsychological testing which is sensitive to detect subtle deficits that may exist beyond the acute episode; nor should they be used as a stand-alone tool for the ongoing management of sports concussions.

It should also be recognised that the appearance of symptoms might be delayed several hours following a concussive episode.

2.3 Evaluation in emergency room or office by medical personnel
An athlete with concussion may be evaluated in the emergency room or doctor’s office as a point of first contact following injury or may have been referred from another care provider. In addition to the points outlined above, the key features of this exam should encompass:

- a. A medical assessment including a comprehensive history, and detailed neurological examination including a thorough assessment of mental status, cognitive functioning and gait and balance.
- b. A determination of the clinical status of the patient including whether there has been improvement or deterioration since the time of injury. This may involve seeking additional information from parents, coaches, teammates and eyewitnesses to the injury.
- c. A determination of the need for emergent neuroimaging in order to exclude a more severe brain injury involving a structural abnormality

In large part, these points above are included in the SCAT2 assessment, which forms part of the Zurich consensus statement.

3. Concussion investigations
A range of additional investigations may be utilised to assist in the diagnosis and/or exclusion of injury. These include the following.

3.1 Neuroimaging
It was recognised by the panelists that conventional structural neuroimaging is normal in concussive injury. Given that caveat, the following suggestions are made: brain CT (or where available, MR brain scan) contributes little to concussion evaluation but should be employed whenever suspicion of an intracerebral structural lesion exists. Examples of such situations may include prolonged disturbance of conscious state, focal neurological deficit or worsening symptoms.

Newer structural MRI modalities including gradient echo, perfusion and diffusion imaging have greater sensitivity for structural abnormalities. However, the lack of published studies as well as absent pre-injury neuroimaging data limits the usefulness of this approach in clinical management at the present time.
addition, the predictive value of various MR abnormalities that may be incidentally discovered is not established at the present time.

Other imaging modalities such as functional MRI (fMRI) show activation patterns that correlate with symptom severity and recovery in concussion. While not part of routine assessment at the present time, they nevertheless provide additional insight to pathophysiological mechanisms. Alternative imaging technologies (eg, positron emission tomography, diffusion tensor imaging, magnetic resonance spectroscopy, functional connectivity), while demonstrating some compelling findings, are still at early stages of development and cannot be recommended other than in a research setting.

3.2 Objective balance assessment
Published studies, using both sophisticated force plate technology and less sophisticated clinical balance tests (eg, balance error scoring system (BESS)), have identified postural stability deficits lasting approximately 72 hours following sport-related concussion. It appears that postural stability testing provides a useful tool for objectively assessing the motor domain of neurological functioning, and should be considered a reliable and valid addition to the assessment of athletes suffering from concussion, particularly where symptoms or signs indicate a balance component.

3.3 Neuropsychological assessment
The application of neuropsychological (NP) testing in concussion has been shown to be of clinical value and continues to contribute significant information in concussion evaluation. Although in most cases cognitive recovery largely overlaps with the time course of symptom recovery, it has been demonstrated that cognitive recovery may occasionally precede or more commonly follow clinical symptom resolution, suggesting that the assessment of cognitive function should be an important component in any return to play protocol. It must be emphasised however, that NP assessment should not be the sole basis of management decisions; rather it should be seen as an aid to the clinical decision-making process in conjunction with a range of clinical domains and investigational results.

Neuropsychologists are in the best position to interpret NP tests by virtue of their background and training. However, there may be situations where neuropsychologists are not available and other medical professionals may perform or interpret NP screening tests. The ultimate return to play decision should remain a medical one in which a multidisciplinary approach, when possible, has been taken. In the absence of NP and other (eg formal balance assessment) testing, a more conservative return to play approach may be appropriate.

In the majority of cases, NP testing will be used to assist return to play decisions and will not be done until patient is symptom free. There may be situations (eg, child and adolescent athletes) where testing may be performed early while the patient is still symptomatic to assist in determining management. This will normally be best determined in consultation with a trained neuropsychologist.

3.4 Genetic testing
The significance of apolipoprotein (Apo) E4, ApoE promoter gene, tau polymerase and other genetic markers in the management of sports concussion risk or injury outcome is unclear at this time. Evidence from human and animal studies in more severe traumatic brain injury shows induction of a variety of genetic and cytokine factors, such as: insulin-like growth factor-I (IGF-1), IGF binding protein-2, fibroblast growth factor, Cu–Zn superoxide dismutase, superoxide dismutase-1 (SOD-1), nerve growth factor, glial fibrillary acidic protein (GFAP) and S-100. Whether such factors are affected in sporting concussion is not known at this stage.

3.5 Experimental concussion assessment modalities
Different electrophysiological recording techniques (eg, evoked response potential (ERP), cortical magnetic stimulation and electroencephalography) have demonstrated reproducible abnormalities in the post-concussive state; however not all studies reliably differentiated concussed athletes from controls. The clinical significance of these changes remains to be established.

In addition, biochemical serum and cerebral spinal fluid markers of brain injury (including S-100, neuron specific enolase (NSE), myelin basic protein (MBF), GFAP, tau, etc) have been proposed as means by which cellular damage may be detected if present. There is currently insufficient evidence however, to justify the routine use of these biomarkers clinically.
Table 1 Graduated return to play protocol

<table>
<thead>
<tr>
<th>Rehabilitation stage</th>
<th>Functional exercise at each stage of rehabilitation</th>
<th>Objective of each stage</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. No activity</td>
<td>Complete physical and cognitive rest</td>
<td>Recovery</td>
</tr>
<tr>
<td>2. Light aerobic exercise</td>
<td>Walking, swimming or stationary cycling keeping intensity &lt;70% maximum predicted heart rate</td>
<td>Increase heart rate</td>
</tr>
<tr>
<td>3. Sport-specific exercise</td>
<td>Skating drills in ice hockey, running drills in soccer. No head impact activities</td>
<td>Add movement</td>
</tr>
<tr>
<td>4. Non-contact training drills</td>
<td>Progression to more complex training drills, eg passing drills in football and ice hockey</td>
<td>Exercise, coordination, and cognitive load</td>
</tr>
<tr>
<td>5. Full contact practice</td>
<td>Following medical clearance participate in normal training activities</td>
<td>Restore confidence and assess functional skills by coaching staff</td>
</tr>
<tr>
<td>6. Return to play</td>
<td>Normal game play</td>
<td></td>
</tr>
</tbody>
</table>

5. Modifying factors in concussion management

The consensus panel agreed that a range of ‘modifying’ factors may influence the investigation and management of concussion and in some cases, may predict the potential for prolonged or persistent symptoms. These modifiers would also be important to consider in a detailed concussion history and are outlined in Table 2.

In this setting, there may be additional management considerations beyond simple RTP advice. There may be a more important role for additional investigations, including formal NP testing, balance assessment and neuroimaging. It is envisioned that athletes with such modifying features would be managed in a multidisciplinary manner coordinated by a physician with specific expertise in the management of concussive injury.

The role of female gender as a possible modifier in the management of concussion was discussed at length by the panel. There was not unanimous agreement that the current published research evidence is conclusive that this should be included as a modifying factor, although it was accepted that gender may be a risk factor for injury and/or influence injury severity.

5.1 The significance of loss of consciousness (LOC)

In the overall management of moderate to severe traumatic brain injury, duration of LOC is an acknowledged predictor of outcome. While published findings in concussion describe LOC associated with specific early cognitive deficits it has not been noted as a measure of injury severity. Consensus discussion determined that prolonged (>1 minute duration) LOC would be considered as a factor that may modify management.

5.2 The significance of amnesia and other symptoms

There is renewed interest in the role of post-traumatic amnesia and its role as a symptom severity matching may alert the clinician to a progressively increasing vulnerability to injury. As part of the clinical history it is advised that details regarding protective equipment employed at time of injury be sought, for both recent and remote injuries. A comprehensive pre-participation concussion evaluation allows for modification and optimisation of protective behaviour and an opportunity for education.
Surrogate measure of injury severity.67 82 83 Published evidence suggests that the nature, burden, and duration of the clinical post-concussive symptoms may be more important than the presence or duration of amnesia alone.60 54 80 Further it must be noted that retrograde amnesia varies with the time of measurement post-injury and hence is poorly reflective of injury severity.65 87

5.3 Motor and convulsive phenomena
A variety of immediate motor phenomena (eg, tonic posturing) or convulsive movements may accompany a concussion. Although dramatic, these clinical features are generally benign and require no specific management beyond the standard treatment of the underlying concussive injury.80 82

5.4 Depression
Mental health issues (such as depression) have been reported as a long-term consequence of traumatic brain injury, including sports related concussion. Neuroimaging studies using fMRI suggest that a depressed mood following concussion may reflect an underlying pathophysiological abnormality consistent with a limbic-frontal model of depression.52 90–100

6. Special populations
6.1 The child and adolescent athlete
There was unanimous agreement by the panel that the evaluation and management recommendations contained herein could be applied to children and adolescents down to the age of 10 years. Below that age children report different concussion symptoms from adults and would require age appropriate symptom checklists as a component of assessment. An additional consideration in assessing the child or adolescent athlete with a concussion is that in the clinical evaluation by the healthcare professional there may be the need to include both patient and parent input as well as teacher and school input when appropriate.101–107

The decision to use NP testing is broadly the same as the adult assessment paradigm. However, timing of testing may differ in order to assist planning in school and home management (and may be performed while the patient is still symptomatic). If cognitive testing is performed, it must be developmentally sensitive until the late teen years due to the ongoing cognitive maturation that occurs during this period which, in turn, makes the utility of comparison to either the person’s own baseline performance or to population norms limited.87 In this age group it is more important to consider the use of trained neuropsychologists to interpret assessment data, particularly in children with learning disorders and/or attention deficit hyperactivity disorder (ADHD) who may need more sophisticated assessment strategies.51 52 104

The panel strongly endorsed the view that children should not be returned to practice or play until clinically completely symptom-free, which may require a longer time frame than for adults. In addition, the concept of “cognitive rest” was highlighted with special reference to a child’s need to limit exertion with activities of daily living and to limit scholastic and other cognitive stressors (eg, text messaging, videogames, etc) while symptomatic. School attendance and activities may also need to be modified to avoid provocation of symptoms. Because of the different physiological response and longer recovery after concussion and specific risks (eg, diffuse cerebral swelling) related to head impact during childhood and adolescence, a more conservative return to play approach is recommended. It is appropriate to extend the amount of time of asymptomatic rest and/or the length of the graded exertion in children and adolescents. It is not appropriate for a child or adolescent athlete with concussion to RTP on the same day as the injury regardless of the level of athletic performance. Concussion modifiers apply even more to this population than adults and may mandate more cautious RTP advice.

6.2 Elite versus non-elite athletes
The panel unanimously agreed that all athletes regardless of level of participation should be managed using the same treatment and return to play paradigm. A more useful construct was agreed whereby the available resources and expertise in concussion evaluation were of more importance in determining management than a separation between elite and non-elite athlete management. Although formal baseline NP screening may be beyond the resources of many sports or individuals, it is recommended that in all organised high risk sports consideration be given to having this cognitive evaluation regardless of the age or level of performance.

6.3 Chronic traumatic brain injury
Epidemiological studies have suggested an association between repeated sports concussions during a career and late life cognitive impairment. Similarly, case reports have noted anecdotal cases where neuropathological evidence of chronic traumatic encephalopathy was observed in retired football players.108–112 Panel discussion was held and no consensus was reached on the significance of such observations at this stage. Clinicians need to be mindful of the potential for long-term problems in the management of all athletes.

7. Injury prevention
7.1 Protective equipment: mouthguards and helmets
There is no good clinical evidence that currently available protective equipment will prevent concussion although mouthguards have a definite role in preventing dental and orofacial injury. Biomechanical studies have shown a reduction in impact forces to the brain with the use of head gear and helmets, but these findings have not been translated to show a reduction
in concussion incidence. For skiing and snowboarding there are a number of studies to suggest that helmets provide protection against head and facial injury and hence should be recommended for participants in alpine sports.113–116 In specific sports such as cycling, motor and equestrian sports, protective helmets may prevent other forms of head injury (eg, skull fracture) that are related to falling on hard road surfaces; these may be an important injury prevention issue for those sports.116–120

7.2 Rule change
Consideration of rule changes to reduce the head injury incidence or severity may be appropriate where a clear-cut mechanism is implicated in a particular sport. An example of this is in football (soccer) where research studies demonstrated that upper limb to head contact in heading contests accounted for approximately 50% of concussions.129 As noted earlier, rule changes may also be needed in some sports to allow an effective off-field medical assessment to occur without compromising the athlete’s welfare, affecting the flow of the game or unduly penalising the player’s team. It is important to note that rule enforcement may be a critical aspect of modifying injury risk in these settings; referees play an important role in this regard.

7.3 Risk compensation
An important consideration in the use of protective equipment is the concept of risk compensation.130 This is where the use of protective equipment results in behavioural change, such as the adoption of more dangerous playing techniques, which can result in a paradoxical increase in injury rates. This may be a particular concern in child and adolescent athletes where head injury rates are often higher than in adult athletes.131–133

7.4 Aggression versus violence in sport
The competitive/aggressive nature of sport which makes it fun to play and watch should not be discouraged. However, sporting organisations should be encouraged to address violence that may increase concussion risk.134 Fair play and respect should be supported as key elements of sport.

8. Knowledge transfer
As the ability to treat or reduce the effects of concussive injury after the event is minimal, education of athletes, colleagues and the general public is a mainstay of progress in this field. Athletes, referees, administrators, parents, coaches and healthcare providers must be educated regarding the detection of concussion, its clinical features, assessment techniques and principles of safe return to play. Methods to improve education, including web-based resources, educational videos and international outreach programmes are important in delivering the message. In addition, concussion working groups plus the support and endorsement of enlightened sport groups, such as Fédération Internationale de Football Association (FIFA), International Olympic Commission (IOC), International Rugby Board (IRB) and International Ice Hockey Federation (IIHF), who initiated this endeavour have enormous value and must be pursued vigorously. Fair play and respect for opponents are ethical values that should be encouraged in all sports and sporting associations. Similarly coaches, parents and managers play an important part in ensuring these values are implemented on the field of play.134–136

9. Future directions
The consensus panelists recognise that research is needed across a range of areas in order to answer some critical research questions. The key areas for research identified include:

- Validation of the SCAT2.
- Gender effects on injury risk, severity and outcome.
- Paediatric injury and management paradigms.
- Virtual reality tools in the assessment of injury.
- Rehabilitation strategies (eg, exercise therapy).
- Novel imaging modalities and their role in clinical assessment.
- Concussion surveillance using consistent definitions and outcome measures.
- Clinical assessment where no baseline assessment has been performed.
- “Best-practice” neuropsychological testing.
- Long-term outcomes.
- On-field injury severity predictors.

10. Medico-legal considerations
This consensus document reflects the current state of knowledge and will need to be modified according to the development of new knowledge. It provides an overview of issues that may be of importance to healthcare providers involved in the management of sports related concussion. It is not intended as a standard of care, and should not be interpreted as such. This document is only a guide, and is of a general nature, consistent with the reasonable practice of a healthcare professional. Individual treatment will depend on the facts and circumstances specific to each individual case.

It is intended that this document will be formally reviewed and updated prior to 1 December 2012.

11. Statement on background to consensus process
In November 2001, the 1st International Conference on Concussion in Sport was held in Vienna, Austria. This meeting was organised by the IIHF in partnership with FIFA and the Medical Commission of the IOC. As part of the resulting mandate for the future, the need for leadership and future updates was identified. The 2nd International Conference on Concussion in Sport was organised by the same group with the additional involvement of the IRB and was held in Prague, Czech Republic in November 2004. The original aims of the symposia were to provide recommendations for the improvement of safety and health of athletes who suffer concussive injuries in ice hockey, rugby, football (soccer) and other sports. To this end, a range of experts were invited to both meetings to address specific issues of epidemiology, basic and clinical science, injury grading systems, cognitive assessment, new research methods, protective equipment, management, prevention and long-term outcome.1–2

The 3rd International Conference on Concussion in Sport was held in Zurich, Switzerland on 29–30 October 2008 and was designed as a formal consensus meeting following the organisational guidelines set forth by the US National Institutes of Health. (Details of the consensus methodology can be obtained at: http://consensus.nih.gov/ABOUTCDP.htm) The basic principles governing the conduct of a consensus development conference are summarised below:

1. A broad based non-government, non-advocacy panel was assembled to give balanced, objective and knowledgeable attention to the topic. Panel members excluded anyone with scientific or commercial conflicts of interest and included researchers in clinical medicine, sports medicine, neuroscience, neuroimaging, athletic training and sports science.
The consensus statement is intended to serve as the scientific record of the conference. The consensus statement will be widely disseminated to achieve maximum impact on both current healthcare practice and future medical research.

The panel chairperson (WM) did not identify with any advocacy position. The chairperson was responsible for directing the consensus session and guiding the panel’s deliberations. Panelists were drawn from clinical practice, academic and research in the field of sports related concussion. They do not represent organisations per se but were selected for their expertise, experience and understanding of this field.

Competing interests: None.

Consensus panelists (listed in alphabetical order): In addition to the authors above, the consensus panelists were S Broglio, G Davis, R Dick, J Dvorak, R Echemendia, G Gioia, K Guskiewicz, H Herring, G Iverson, J Kelly, J Kissick, M Makdissi, M McCrea, R Echemendia, G Gioia, K Guskiewicz, S Herring, G Iverson, J Kelly, J Kissick, M Makdissi, M McCrea, A Pitto, L Purcell, M Putukian. Also invited but not in attendance: R Bahr, L Engebretsen, P Hamlyn, B Jordan, A Ptito, L Purcell, M Putukian. 


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Horton A, McCrory P, et al. Should football players wear custom fitted mouthguards?


Brooks PK. Head injury outcome.

Horton A, McCrory P, et al. Should football players wear custom fitted mouthguards?


APPENDIX 1
Sport Concussion Assessment Tool (SCAT2) form: a clinical tool used by practitioners managing athletes with concussion.

APPENDIX 2
Pocket SCAT2: a pocket card designed for lay practitioners to suspect the diagnosis of a concussion.
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